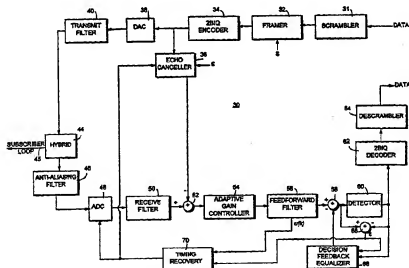




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(54) Title: METHOD AND APPARATUS FOR TIMING RECOVERY



(57) Abstract

A method and apparatus for recovering a timing phase and frequency of a sampling clock signal in a receiver are disclosed for determining a desired timing phase by minimizing a mean squared error due to uncanceled precursor intersymbol interference. A detected symbol error is correlated with a signal obtained from the received signal. This correlation function provides an approximate of the time instant where the mean squared error approaches its minimum at which point an unambiguous zero crossing of the correlation function signal is obtained. From such an unambiguous zero crossing, e.g., only one zero crossing, a desired sampling timing instant is determined.

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METHOD AND APPARATUS FOR TIMING RECOVERY

FIELD OF THE INVENTION

5 This invention relates to high speed, digital data transmission systems, and in particular, to timing recovery in transceiver circuits.

BACKGROUND OF THE INVENTION

10 Communication over a digital subscriber line or other communications loop requires very low error or even error free transmission of coded binary data, e.g., a bit error rate (BER) equal to or less than 10^{-7} is required for use in the integrated services digital network (ISDN) basic access interface for subscriber loops. Such low
15 BERs are difficult to obtain given unknown delays, attenuation, dispersion, noise, and intersymbol interference (ISI) introduced by and/or on the communications channel.

 An essential part of very low error transmission of coded binary data is symbol synchronization at the digital data receiver. In general, the receiver clock of a receiving transceiver interface must be continuously adjusted to track and compensate for frequency drift between the oscillators used in the transmitter located at opposite end of the communications loop and the receiver clock circuits as well as to
20 track and compensate for changes in the transmission media. Digital receivers rely on digital processing to recover the transmitted digital information. In other words, the received signal is sampled at discrete time intervals and converted to its digital representation. As a result, a timing recovery function is required to synchronize the receiver clock so
25 that received symbols can be sampled at an appropriate sampling
30

instance, (e.g., an optimum sampling instance would be at the peak of the sampled pulse for Pulse Amplitude Modulated (PAM) codes). This task is further complicated because the received pulses are distorted.

5 Once source of disturbance is the coupling of transmitted pulses from the transmitting portion of the transceiver directly across a hybrid circuit which are detected at the receiver input as echoes. Such transmit pulse echoes are typically removed by an echo canceler (e.g., a transversal filter which models the transmit signal and subtracts it from
10 the received signal). But even after the echo canceler removes the echoes of transmitted pulses, the received pulses are still distorted as a result of the transmission path characteristics and intersymbol interference as mentioned above. The result is that relatively square, narrow pulses transmitted from the far end transceiver are "smeared,"
15 (i.e., widened and distorted) by the time they are received at the near end transceiver.

 To detect the value of the received pulses, the receiver performs a number of functions in addition to echo cancellation. For example, the
20 receiver tries to cancel intersymbol interference (ISI) caused by symbol pulses received before the current symbol pulse of interest. Such ISI is caused by the delay and pulse shaping characteristics of the transmission path such that when symbols are transmitted, the "tail" of one symbol pulse extends into the time period of the next transmitted
25 symbol pulse, making it difficult to determine the correct amplitude of the pulse actually transmitted during that symbol period. High speed digital communication systems may employ decision feedback equalizers (DFE) to suppress ISI.

After performing various corrective/compensating functions, some of which were briefly described above, the receiver then decides (1) where in time and (2) at what amplitude to quantize or "slice" the received signals to convert them back to desired pulse or symbol values. In order to perform these slicing functions, the receiver must determine the timing instant to sample the signal as well as determine the signal level at that sampling instant. Since digital signal processing circuitry cost and complexity typically increase with sampling rate, it is desirable and typical to sample the incoming signal at the lowest possible rate, i.e., the baud rate. Accordingly, the timing phase is crucial in minimizing errors due to noise and intersymbol interference. The timing recovery is further complicated if a "baud rate" timing recovery algorithm is employed where received symbol pulses are sampled only once per symbol or baud.

Such a sampling rate timing recovery algorithm was proposed by Mueller and Muller in "Timing Recovery in Digital Synchronous Data Receivers," IEEE Trans. Comm., Vol. COM-24, No. 5, pp. 515-531, May 1976. The Mueller and Muller timing recovery algorithm selects a "timing function" which is zero at the optimum sampling phase. The objective is to find the phase that makes this timing function equal to zero. Detecting when the function is zero is accomplished by detecting when the function's amplitude crosses zero, i.e., a zero crossing.

This objective is only theoretical, however, because such a timing function cannot be computed exactly and has to be estimated from the received signal samples. The sampling phase is then adjusted until the estimate is equal to zero. In practice, derivation/estimation of the timing function is quite difficult. For example, previously proposed timing function estimates are expressed as an equivalent system of equations.

Many such equations do not have a unique solution and become intractable when the number of equations exceeds 3. Another and perhaps more serious problem is that the Mueller et al timing function estimates may not converge to a single zero crossing for many transmission paths and instead exhibit multiple zero crossings. Thus, false timing instants may be easily selected which may adversely influence the timing recovery process. The problem of stably recovering timing information from an incoming digital signal sample at the baud rate therefore remains.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a stable timing recovery algorithm that permits accurate sampling of incoming digital signals at the symbol baud rate.

A further object of the present invention is to achieve clock synchronization between transmitted and receiver clocks as well as to track and adjust phase drift between those clocks using an efficient timing recovery algorithm that can be implemented in very large scale integrated (VLSI) circuitry at low cost.

It is a further object of the present invention to provide a timing recovery algorithm which selects the timing phase based on the characteristics of the communications channel to minimize bit error rate to a very low value.

A still further object of the present invention is to provide a timing recovery algorithm that cohesively interacts with other receiver parameters such as the decision feedback equalizer.

To this end, a timing recovery function is disclosed for determining a desired timing phase by minimizing a mean squared error due to uncanceled precursor intersymbol interference. In general, the error is calculated as a difference between the equalized signal and the corresponding detected symbol. The optimum or near optimum timing phase for sampling is achieved when the mean squared error approaches its minimum.

A timing recovery method in a digital communications system is disclosed for recovering a timing phase of a sampling clock signal in a receiver. A received signal is sampled at controlled timing instants to convert the received signal into a digital signal. A timing recovery function is generated using a correlation between two signals that produces an unambiguous zero crossing. With such an unambiguous zero crossing, e.g., only one zero crossing, a desired and reliably accurate sampling timing instant is determined.

The received signal is processed to compensate for various distortions, and a value of the received signal from the processed signal is detected in a signal detector. Then, an error between the input to the detector and the detector output is calculated. The timing recovery function is defined as the correlation between the error and some other signal. That other signal is selected so that the correlation provides the unambiguous zero crossing. Typically, the other signal is a signal obtained or otherwise derived from the received signal. The correlation is zero at the optimal or near optimal sampling timing instant. In one implementation, the "sign" of the correlation result, i.e., a positive or negative correlation result, determines whether the phase of the timing needs to be advanced or retarded.

The present invention also describes a data communications transceiver in a digital communications system for implementing the timing recovery technique includes a transmitter for transmitting digital information encoded as one of plural symbols over a communications channel and a receiver. The receiver includes an analog to digital converter for sampling a received signal at controllable, predetermined timing instants. A detector compares each signal sample to a threshold and generates a corresponding symbol based on the comparison. A timing recovery controller evaluates the correlation between the error and a signal obtained from the received signal that provides a single zero crossing at an optimal or near optimal timing instant. In one embodiment, the phase of the receiver clocking signal is adjusted so that the sum of the squares of the precursor error values is effectively minimized.

The receiver includes a symbol detector that detects a value of the received signal at a predetermined timing instant. An error is calculated between the received signal input to the detector and the detected value output by the detector. The timing recovery controller then correlates that error with some combination of the received signal thereby generating a signal that approximates the sum of squares of the precursor values.

By providing a timing recovery correlation function that produces an unambiguous zero crossing, the present invention produces a reference point that can be readily detected. The timing recovery clock is advanced, retarded, or maintained based on the correlation product sign, e.g., a positive correlation instructs retarding the clock, a negative correlation instructs advancing the clock, and a correlation magnitude value (positive or negative) below a threshold value instructs maintaining

the current clock phase. That reference point gives an optimal or near optimal sampling instant for sampling the pulse.

A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed description of the invention and the accompanying drawings which set forth an illustrative embodiment in which the principles of the invention are utilized.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a function block diagram of an example of a digital ISDN communications system in which the present invention may be applied;

FIGURE 2 is a function block diagram of a U-type transceiver that may be used in an ISDN;

FIGURE 3 is a graph of a typical symbol pulse when initially generated by a transmitter;

FIGURE 4 is a graph of a typical received pulse after transformer and receive filtering;

FIGURE 5 is a graph of a typical received pulse after filtering in a feedforward precursor filter in a receiver;

FIGURE 6 is a graph of a theoretically computed autocorrelation function ϵ_k (solid line) and its derivative (dotted line);

FIGURE 7 is another block diagram of the U-type transceiver of Fig. 2 with additional details of the signals used in an example embodiment of the timing recovery technique in accordance with the present invention;

FIGURE 8 is a comparative graph evaluating an example of a timing function in accordance with the present invention;

FIGURE 9 is a block diagram showing in more detail the timing recovery unit shown in Figure 7; and

FIGURES 10-13 are diagrams showing example signal shaping approaches for providing various suitable correlation signals used in various example embodiments of the present invention.

DETAILED DESCRIPTION OF THE DRAWINGS

In the following description, for purposes of explanation and not limitation, specific details are set forth, such as particular interfaces, circuits, techniques, etc. in order to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that the present invention may be practiced in other embodiments that depart from these specific details. In other instances, detailed descriptions of well-known methods, devices, and circuits are omitted so as not to obscure the description of the present invention with unnecessary detail.

Figure 1 shows an overall block diagram of one data communications environment, i.e., the integrated services digital network

(ISDN) 10, to which the present invention may be applied. A building 12 may, for example, include telephone subscribers (16 and 18) and data subscribers (personal computer 14) linked over a local area network to a U-transceiver 20 (via an S-transceiver not shown). The U-transceiver 20 is connected by a 2-wire "subscriber loop" transmission line 22 to another U-transceiver 26 at telephone switching and services network 24 which provides digital switching and other messaging/call processing services. One important function of the U-transceivers 20 and 26 is the accurate and stable recovery of timing information from an incoming digital signal sampled at the baud rate so that symbol synchronization is achieved between the two transceivers.

For purposes of illustration and description only, the present invention is described hereafter in the context of such an ISDN network that uses U-transceivers and 2B1Q line codes. In the ISDN, the 2-binary, 1-quaternary (2B1Q) line code is used which employs a four level, pulse amplitude modulation (PAM), non-redundant code. Each pair of binary bits of information to be transmitted is converted to a quaternary symbol (-3, -1, +1 and +3). For example, "00" is coded to a -3, "01" is coded to a -1, "10" is coded to a +3, and "11" is coded to a +1. However, as will be appreciated by those skilled in the art, the present invention may be applied to other types of data communication networks and other types of line codes/symbols.

Reference is now made to Figure 2 which illustrates a U-interface transceiver 30 comprising a transmitter and receiver. Again, although the present application is being described in conjunction with a U-interface transceiver for use in conjunction with an ISDN digital communications network, the present invention of course could be applied to other high speed data environments such as high bit rate

digital subscriber lines (HDSL), etc. Binary data for transmission is applied to a scrambler 31 which encodes the data into pseudo-random bit stream formatted by a framer 32 into frames of 240 bits or 120 (2B1Q) symbols in accordance with ISDN specification T1D1. The
5 framer inserts a 9-symbol signalling word used for frame synchronization in each frame of data so that 111 symbols are left for the scrambled data.

The framed and scrambled binary signal is applied to a 2B1Q
10 encoder where it is converted into a parallel format by a serial-to-parallel converter which produces digits in the combinations of 00, 01, 10, and 11. Digit-to-symbol mapping in the encoder produces the four corresponding symbol levels -1, +1, -3, and +3. Digital-to-analog converter (DAC) 38 converts the encoded signal to a voltage level
15 suitable for application to the hybrid 44 which is connected to subscriber loop 45. The transmit filter 40 removes high frequencies from the digital pulses output by the digital-to-analog converter 38 to reduce cross-talk and electromagnetic interference that occur during transmission over the subscriber loop 45.

20

Incoming signals from the subscriber loop 45 are transformed in hybrid 44 and processed by the receiver which, at a general level, synchronizes its receiver clock with the transmitter clock (not shown) so that the received signal can be sampled at the symbol/ baud transmission
25 rate, i.e., the rate at which symbols were transmitted at the far end of the loop. More specifically, the receiver includes an anti-aliasing filter 46 which removes high frequencies. The filtered signal is converted into a digital format using analog-to-digital converter (ADC) 48. The sampling rate of the analog-to-digital converter 48, which is tied to the receiver
30 clock, is adjusted using a control signal from timing recovery circuit 70.

For example, A-to-D converter 48 may sample at a sampling rate of 80 kHz even though it has a built-in higher frequency clock permitting phase adjustment in smaller intervals, e.g., a period of 15.36 MHz. The control signal from timing recovery circuit 70 adjusts the phase of the baud rate recovery clock by stepping the clock signal forward or backward.

The digitized samples are filtered by a receiver filter 50, the output of which is provided to summing block 52. Receiver filter 50 increases the signal-to-noise ratio of the received signal by suppressing the "tail" of the received signal. The other input to summer 52 is an output from echo canceler 36. As is described above, pulses transmitted onto subscriber loop 45 result in echo on the receiver side of the hybrid 44 due to impedance mismatch. Unfortunately, it is difficult to separate the echoes of these transmitted pulses (such as, for example, using a filter) from the pulses being received from subscriber loop 45. Accordingly, echo canceler 36 generates a replica of the transmitted pulse waveform and subtracts it at summer 52 from the received pulses. The echo canceler is adjusted based upon an error signal ϵ between the received symbol and the detected symbol output at summer 66. Such an adaptive echo canceler is typically realized as a traversal, finite impulse response (FIR) filter whose impulse response is adapted to the impulse response of the echo path. The error ϵ is used to adjust the filter coefficients to "converge" the filter's response to the impulse response model of the communications channel.

The echo cancelled signal is processed by adaptive gain controller 54 to adjust the amplitude to levels specified for the symbols in the 2B1Q line code. In general, the gain applied to the input signal is adapted by comparison of the input signal to fixed amplitude thresholds and increasing or decreasing the gain as necessary to achieve the

amplitudes standardized for symbols -3, -1, +1, and +3. The output of the adaptive gain controller is provided to a feedforward filter 56 which in physical terms enhances high frequencies of pulses in the received signal which translates into an increase in the steepness or slope of the rising edge of the digital pulse. In functional terms, known digital communications systems refer to this feedforward filter 56 as a precursor filter because its purpose is to suppress the precursor portion of received pulses.

In this regard, reference is made to the pulse waveforms shown in Figures 3-5. Figure 3 shows a typical, isolated, transmitted pulse waveform before it is distorted over the transmission path. Figure 4 illustrates a typical, isolated, received pulse after filtering in receive filter 50 and echo cancellation in summer 52. The pulse amplitude is significantly attenuated compared with the transmitted pulse in Figure 3 and the overall pulse width is significantly increased. Figure 5 shows the pulse after filtering by the feed forward filter 56 with increased steepness/slope of the rising edge of the received pulse.

In Figure 4, the initial portion of the pulse before it starts to rise is flat at zero amplitude. The optimal time to sample the pulse amplitude and measure its value at or near its peak is one symbol period "T" after the pulse begins its steep rise from zero amplitude to its peak amplitude in order to avoid precursor interference. With the initial flat portion shown in Figure 4, it is difficult in practice to detect that initial point in time when the pulse starts to steeply rise and therefore detect the point from which one symbol period should be measured. Furthermore, for pulses with a slow rise rate, as in the case of long transmission loops, the pulse amplitude at the sampling instant defined as above, will be

much less than peak amplitude, resulting in deterioration of SNR due to other noise sources.

One advantageous by-product of the feedforward/precursor filter in this regard is that it introduces precursor zero crossings. In the example waveform shown in Figure 5, the pulse has two readily detectable zero crossings after precursor filtering approximately spaced by the sampling interval T . Zero crossing 82 in particular defines a subsequent sampling position 84 (the main cursor sampling position) one sampling period T after the zero crossing 82. As can be seen, the main cursor sampling point occurs slightly before the peak of the pulse waveform 86. Nonetheless, the main cursor sample is sufficiently close to the pulse peak to provide an accurate pulse amplitude sample.

For purposes of the present description, the term "main cursor" is the pulse height or amplitude at the sampling position 84. A "precursor" refers to pulse heights at sampling positions just before the main cursor sampling position 84. Thus, the second precursor corresponds to the pulse height at a second sampling position 80 before the main cursor sampling position 84. The first precursor corresponds to the pulse height at a first sampling position 82 immediately preceding the main cursor sampling position 84.

Ideally, the distance between the precursor zero crossings 80 and 82 as well as the distance between first precursor zero crossing 82 and main cursor sampling position 84 should be spaced by sampling interval T corresponding for example in baud rate sampling to the symbol transmission period. Achieving (even approximately) such cursor spacing permits sampling at points where precursor intersymbol

interference (ISI) caused by preceding and succeeding pulses is near zero.

To eliminate the effect of such precursor ISI, the sampling instants
5 should be aligned with the zero crossings of the precursors (each of the sampling intervals is indicated by the asterisks intersecting the time axis). In practice, however, it is difficult to obtain such spacing for all transmission paths on the network using a single feedforward/precursor filter. Consequently, it is not possible to completely eliminate precursor
10 ISI. However, a satisfactory result is achieved when this condition is at least approximated. As is described further below, the timing recovery algorithm in accordance with the present invention uses this residual precursor ISI to adjust the phase of the receiver sampling clock so that the main cursor is sampled at the point where the mean squared error or
15 the approximate mean squared error due to the residual precursor ISI is minimized. This point corresponds to the optimal or near optimal sampling time instant at or sufficiently near the pulse peak. While the present invention is described in terms of mean squared error due to residual precursor ISI, the present is not limited to residual precursor ISI.
20 Other received signals or portions of received signals, e.g., the post cursor ISI, may be used to calculate the timing function.

Referring again to Figure 2, a correction signal from a decision
feedback equalizer (DFE) 68 is subtracted from the filtered sample at
25 summer 58 to provide an equalized version of the pulse at symbol detector 60. As a result of the channel characteristics of the subscriber loop and signal processing, the "tail" of the single symbol pulse persists into a large number of symbol sample periods after the main cursor is sampled and therefore interferes with the subsequent symbols. This
30 intersymbol interference caused by the tail of the symbol pulse is

removed by the decision feedback equalizer 68. The decision feedback equalizer is implemented as a digital transversal filter and is adapted much in the same manner as the echo canceler 36.

5 The detector 60 converts the corrected pulses of the received signal to symbol logic levels. The timing recovery circuit 70 then must choose the correct sampling phase so that pulse values are detected. As mentioned above, a suitable sampling instant is determined by timing recovery circuit 70 at the instant where the mean square error due to precursor interference reaches its minimum corresponding to a lowest probability of error. Thereafter, the timing recovery unit 70 tracks the changes in the phase of the received signal to ensure synchronization with the transmitted signals.

15 To that end, an error signal ε is generated at summer 66 based on the detector input D_i and the detector output D_o as follows:

$$\varepsilon_k = D(k)_i - D(k)_o \quad (1)$$

In a simplified mathematical expression, the detector input D_i can be approximated by the following equation:

20

$$D(k)_i = h_0 a_k + h_{-1} a_{k-1} + h_{-2} a_{k-2} \quad (2)$$

where k is the current sampling instant, a is the symbol amplitude value (which for a 2B1Q code corresponds to ± 1 and ± 3), h_0 is the main cursor amplitude, h_{-1} is the first precursor amplitude, and h_{-2} is the second precursor amplitude all measured at time k . The output of the detector is of course a selected one of the ± 1 and ± 3 symbols. The first term $h_0 a_k$ corresponds the main cursor of the signal to be detected and therefore is essentially D_o . The last two terms correspond to the error generated by the ISI overlap caused the first and second precursors with

25

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the future two symbol a_{k+1} and a_{k+2} . Stated another way, the sum of these first and second precursor terms represents the degree to which the precursor crossings (at least for the first and second precursors used in our example) do not correspond with the sampling times. Of course, the two precursor example is only that and the present invention may be implemented using any number of precursor terms.

The inventors of the present invention recognized therefore that the error terms in the above equation (1) provide information that can be advantageously employed to adjust the sampling time to the optimal or near optimal value. If the error generated by precursor ISI is driven to zero, h_1 and h_2 are sampled at zero crossings, which as described above sets up a suitable reference from the first precursor zero crossing for sampling the main cursor value h_0 one period T after the first precursor zero crossing at an amplitude at or sufficiently near the pulse peak. If the first and second precursor values h_1 and h_2 are not zero or nearly zero, then the precursors are not being sampled at or near a zero crossing and the timing phase needs to be adjusted to move the error closer towards zero. If the first and second precursor values h_1 and h_2 cannot be zero simultaneously because they are not spaced exactly one sampling interval T , the sampling instance should be adjusted to move the error as close as possible to zero.

The timing recovery function of the present invention is different from conventional timing estimation functions such as proposed in the Mueller et al article described above. Those timing functions typically depended upon both the precursor and main cursor and do not use an error signal as described above. Moreover, none of the Mueller et al based timing estimation techniques employ correlation properties to extract timing recovery information.

Based on the knowledge that the precursor values (i.e., h_1 , h_2 , etc.) are heavily influenced by the choice of sampling phase (i.e., when the sampling instants occur at or near zero crossings the precursor have zero or near values), the error term ϵ_k is correlated with some "other" selected signal labelled f_k for convenience representative of the received signal to generate a mean or an approximate mean squared error value. The reason why the mean squared error is used rather than just the error ϵ_k is because, recalling from the block diagram in Fig. 2, the transmitted symbols are scrambled which means in equation (1) above, the symbol variables a_{k+1} and a_{k+2} are uncorrelated. As such, the error ϵ_k provides no useful information. However, useful timing information can at least in theory be derived from the square of the error as will be explained in conjunction with Figure 6. Figure 6 shows as a solid line the mean squared error (i.e., the autocorrelation of ϵ_k). Note that the solid line is plotted on the horizontal axis representing distance from the maximum or peak pulse value against relative amplitude on the vertical axis. The mean squared error achieves a minimum near the maximum or peak pulse value, and therefore, it may be used to detect the optimal or near optimal sampling instant of the received pulse.

Unfortunately, the mean squared error term keeps the same sign (i.e., it does not cross zero) irrespective of whether the signal is sampled before or after the optimum sampling instance. In other words, without a zero crossing from positive to negative or negative to positive, it is difficult to determine whether to advance or retard the receiver sampling clock phase. What is needed is a clear, easily detectable zero crossing at or about distance "0" shown in Fig. 6.

If the derivative of the mean squared error term is calculated (see the dashed line in Fig. 6), the zero crossing near distance "0" could be

used, but the derivative of the mean squared error function results in multiple zero crossings with all but one being "false" zero crossings. Consequently, in some circumstances, the timing recovery algorithm may become "locked" on a false sampling instance and may prevent the decision feedback equalizer from converging.

The present invention therefore correlates the error e_k not just with itself alone but instead with some other signal derived or obtained from the received signal which includes the error term plus additional information about the signal, resulting in additional cross-correlation components. The additional cross-correlation components may be used to remove the false zero crossings from the correlation product. For purposes of this description, the "other signal" is defined as a signal which when correlated with the error e_k produces an unambiguous zero crossing, e.g., a single zero crossing, at or near the optimal sampling instant. This other signal can be obtained from a signal containing uncanceled precursor or from some other suitable signal. For simplicity of description and not limitation, the following other signal examples are obtained from the feedforward precursor filter and therefore are based on the uncanceled precursor.

A first embodiment of the invention is described where the other signal to be used in the correlation is labelled f_k :

$$f_k = u_k + u_{k-1} \quad (3)$$

where u_k is obtained from the feedforward filter 56 at the point shown in Figs. 7 and u_{k-1} is a delayed version of u_k as shown in Fig. 8. The timing recovery correlation function is then defined as:

$$\Delta\theta = E(f_k^* e_k) \quad (4)$$

where $\Delta\theta$ is the timing adjustment. Figure 9 plots as a solid line this correlation function using similar axes as used in Fig. 6.

Advantageously, the solid line has only one zero crossing at approximately distance "0" from the maximum value of the signal. In other words, there are no false zero crossings. Thus, the other correlation signal f_k should be carefully selected and tested to insure that the timing correlation function produces in a single zero crossing.

Accordingly, the present invention generates a timing recovery correlation function such that when the error is reduced toward zero, the sampling period is at the optimal or near optimal point. The optimal or near optimal timing phase is that which minimizes the mean squared error, due to for example the uncanceled precursor intersymbol interference, which is approximately achieved when the correlation between the error and the other signal f_k is at zero or within a "deadband zone" explained further below. The correlation function zero crossing then determines the steady state locations of the desired sampling timing instants.

In implementing this first example embodiment of the timing recovery correlation function, the timing recovery correlation function $\Delta\theta = E(f_k \cdot e_k)$ is calculated for a current received pulse and provides a timing phase adjustment signal to the receiver sampling clock. Optimally (although not necessarily), only the sign or direction of that correlated timing phase adjustment value $\Delta\theta$ is used to correct timing phase. For example, if the $\Delta\theta$ value is a negative, the clock is "lagging," and the timing recovery circuit 70 generates an "advance" signal for advancing the phase of the sampling clock provided to the A-to-D converter 48 and echo canceler 36 by an incremental time value. If the value is positive, then the clock is "leading," and the timing recovery circuit 70 outputs a "retard" signal which delays the clock by an incremental time value. If calculated timing phase adjustment value is zero or less than a

deadband threshold, a "hold" signal is output from the timing recovery circuit 70 meaning that the clock is not adjusted for the time being.

Since the transmission channel characteristics on a subscriber loop usually change slowly, it is desirable to adjust the receiver sampling clock only in small steps (the increments noted above), and only after a phase correction in a particular direction is detected over many samples, i.e., an integrating time period. For example, a 2000 sample time period is appropriate.

The correlation function used for timing recovery minimizes the mean squared error as obtained by the equation $\Delta\theta = E(f_k^* e_k)$ has significant advantages. First, the cross-correlation function exhibits only one zero crossing thereby avoiding the possibility of locking on a sampling instance other than the optimal or near optimal sampling instance or the risk of locking the system in an uncontrolled oscillatory state. A second advantage is that as a result of the single zero crossing, the timing recovery correlation function converges unconditionally to the optimal or near optimal sampling instant regardless of the initial sampling point.

Further description of the present invention will now be with reference to Figures 7, 8 and 10 where like reference numerals refer to like elements from Figure 2. The output from the adaptive gain control unit 54, which includes a number of overlapping symbols is processed in feedforward filter 56. The delay elements z^{-1} employed in filter 56 provide signals s_k , s_{k+1} , and s_{k+2} at the current sampling instant k . The signal s_{k+2} is multiplied by a precursor coefficient or "tap" pc_2 while the signal s_{k+1} is multiplied by precursor coefficient or tap pc_1 . While a two tap, feedforward filter (corresponding to taps pc_1 and pc_2) is shown and

described below for purposes of explanation, those skilled in the art will appreciate that a one tap filter or a more than two tap filter could also be used if desired.

5 The two weighted signals are summed together to provide a signal u_k which is then summed with signal s_k to generate the filtered signal x_k which looks like the typical received and filtered signal shown in Figure 5. The output of detector 60 \hat{a}_k is fed into decision feedback equalizer 68 to provide an estimate of intersymbol interference. The
10 intersymbol interference is removed at summer 58 shown in Figure 7 so that the current symbol pulse can be accurately detected by detector 60. The output from the detector \hat{a}_k is also subtracted from the input of the detector at summer 66 to provide the error signal ϵ_k to update coefficients of the equalizer 68 and to the timing recovery block 70. As
15 shown in figure 11 described later, the filter signal u_k is provided to signal shaper 90 to provide the other signal f_k according to formula (3) set forth above, i.e., $f_k = u_k + u_{k-1}$. The signal to be correlated f_k is then provided to timing recovery block 70 which performs the cross-correlation between the error signal ϵ_k and the other signal to be
20 correlated f_k . The input signal to the function generated is defined as follows:

$$u_k = s_{k+1}PC_1 + s_{k+2}PC_2. \quad (5)$$

25 Since the output from the feed forward filter x_k equals $s_k + u_k$, one can readily see that signal u_k is very much related to the first and second precursors of symbol x_k . Thus, when f_k is correlated with ϵ_k , only the precursor portions of both signals correlate in a steady state, i.e., are approximately squared.

Figure 9 shows the main stages of the timing recovery circuit 70 in block diagram form. Signal u_k from the feedforward filter 56 is processed by signal shaper 90 which essentially provides a signal shaping function that adds u_k to its delayed version u_{k-1} . Figure 11 shows an optional sign block 102 which may be used to simplify the correlation calculation. One or both of the correlated signals may be approximated with its sign value, i.e., +1 or a -1, which avoids higher data processing overhead multiplications using simpler combinations of sign. In other words, irrespective of whether the correlation result is $(0.2)(-0.7) = -0.14$ or $(0.2)(-1.0) = -0.2$ or even $(1.0)(-1.0) = -1.0$ for that matter, a correct decision (on average) may be made to advance or retard the sampling instant based purely on the sign. This approach is particularly useful when a preferred implementation of at least the timing recovery circuit 70 is performed by a programmable digital processor. Signals f_k and ϵ_k are then provided to correlator 91 where they are multiplied in a multiplier 92 and then filtered in loop filter 94. Loop filter 94 averages (integrate and dump) the correlation result over, for example, 2000 samples, and the averaged value is used to adjust timing.

For example, the sampling phase would be adjusted once every 2000 samples dependent upon the new value $\Delta\theta_k$. The output from the loop filter is applied to a phase quantizer 95 which interprets the loop filter output to make a decision as to whether to "advance," "retard," or "hold" the timing recovery baud rate clock. Phase quantizer 95 may correspond to a multi-level slicer having a positive threshold and a negative threshold with the region therebetween being referred to as a hold or dead zone region. Depending upon the polarity of the signal it receives, the quantizer 95 outputs an advance or retard signal which shifts the phase of the recovered baud rate clock and hence adjusts the

sampling instant to an optimal value. As mentioned above, a digital, voltage controlled oscillator (VCO) may be used typically in the form of an up/down counter.

5 Reference is now made to Figures 10-13 which illustrate examples of signals to be correlated. As already described, the correlation function used for timing recovery minimizes (or at least nearly minimizes) the mean squared error following the equation $\Delta\theta = E\{f_k^* e_k\}$. The issue is how to ensure that such a correlation function has only one
10 zero crossing. As already described above in the context of Figure 6, the autocorrelation of the error, i.e., e_k^2 and its derivative, are unsatisfactory. The strategy adopted by the inventors of the present invention for choosing particular signal combinations to develop an optimal or near optimal correlation function relies on the principle of
15 superposition which applies in any linear system. The timing functions described further below, such as one illustrated in Figure 9, may be seen as a linear combination of the correlation functions.

 In general, once a particular combination of signals to be
20 correlated is adopted, a program for evaluating the correlation function, (developed using commercially available software such as MATLAB), is executed to check whether a single zero crossing is achieved. In other words, each possible correlation function for various combinations of signals, (including in some fashion the error signal e_k), is evaluated to
25 determine whether or not it fulfills the objects of approximately minimizing the mean squared error and providing only one zero crossing. For example, the MATLAB program was used to generate the graphs in Figures 6 and 8, where Figure 8 shows a suitable correlation function which has only one zero crossing. While specific, suitable correlation
30 signals are not known in advance, the inventors of the present invention

determined that the detected error signal ϵ_k contains information about precursor noise. The correlation function is used to extract this information which is then used for timing recovery.

5 Turning to Figure 10 referred to previously in conjunction with Figure 7, the error signal ϵ_k is correlated with another correlation signal f_k generated from signal u_k obtained from the feedforward filter 56. The feedforward filter signal u_k is input to signal shaper 90 where it is summed with a delayed version of itself u_{k-1} . The resulting correlation
10 function therefore is $E((u_k + u_{k-1})\epsilon_k)$. As described above, in some digital processing operations, the correlation function may be implemented without a multiplication by simply adopting the sign (+ or -) of the summer output as correlation signal f_k .

15 A mathematically equivalent combination of signals is shown in Figure 11 which when correlated satisfy the above objectives is the combination of a delayed signal u_k , that is u_{k-1} , and the sum of the error ϵ_k and its delayed version ϵ_{k-1} to produce a correlation function f_k . The resulting correlation function therefore is $\Delta\theta = E((\epsilon_k + \epsilon_{k-1})u_{k-1})$. As with
20 Figure 10, the data processing may be simplified using the sign of one or both f_k and u_{k-1} .

 Figure 12 shows a third example correlation function in which a delayed unfiltered signal s_{k+1} from the feedforward filter 56 is input to
25 signal shaper 90. The resulting output signal f_k is correlated with the error ϵ_k . Alternatively, since signals s_k and s_{k+1} are readily available, they may be for example combined in the summer to produce signal f_k for correlation with ϵ_k . The resulting correlation function therefore is $\Delta\theta = E((s_k + s_{k+1})\epsilon_k)$. Again, the sign of f_k (+ or -) could simply be correlated
30 with the error ϵ_k or its sign to simplify the data processing operation.

Another combination of signals shown in Figure 13 which when correlated satisfy the above objectives is the combination of an unfiltered signal s_k and the sum of the error ϵ_k and its delayed version to produce a correlation function f_k . The signal to be correlated f_k is then combined with a signal s_k from the feedforward filter 56. The resulting correlation function therefore is $\Delta\theta = E[(\epsilon_k + \epsilon_{k-1})s_k]$. As with Figure 10, the correlation of f_k with s_k may be adequately approximated using the sign of one or both of f_k and s_k to simplify the data processing operation.

Each of these four example timing correlation functions satisfies the objectives above such that when the mean squared error is minimized, only a single zero crossing is obtained as confirmed by observation using the MATLAB program. Of course, these three timing recovery functions are simply examples to which the present invention is not limited. Other various combinations of signals that satisfy the above-identified objectives would also be suitable correlation functions for achieving timing recovery in accordance with the present invention.

A more rigorous mathematical explanation of the invention follows. To meet the above formulated requirements, the sum of the signals u_{k-1} and u_k is correlated with the error ϵ_k .

$$f_k = u_k + u_{k-1} = \sum_{i=-\infty}^{\infty} a_{k-i} (h_{u,i} + h_{u,i-1}) + \eta_{u,k} \quad (6)$$

where $h_{u,i}$ depicts the channel partial impulse response function, u_{k-1} is simply the delayed version of u_k , and the data symbols $\{a_k\}$ are assumed to be an uncorrelated sequence. The error ϵ_k can be mathematically described as:

$$\begin{aligned}
\epsilon_k &= \sum_{i=-\infty}^{i=-1} h_i a_{k-i} + h_0 a_k - d_0 \tilde{a}_k + \sum_{i=1}^{\infty} h_i a_{k-i} - \sum_{i=1}^N d_i \tilde{a}_{k-i} + \eta_k \\
&= \sum_{i=-\infty}^{i=-1} h_i a_{k-i} + \sum_{i=0}^N (h_i a_{k-i} - d_i \tilde{a}_{k-i}) + \sum_{i=N+1}^{\infty} h_i a_{k-i} + \eta_k \\
&= \sum_{i=-\infty}^{i=-1} h_i a_{k-i} + \sum_{i=0}^N h_i (a_{k-i} - \tilde{a}_{k-i}) + \sum_{i=0}^N \Delta h_i \tilde{a}_{k-i} + \sum_{i=N+1}^{\infty} h_i a_{k-i} + \eta_k
\end{aligned} \tag{7}$$

where N is the number of taps in the equalizer 68, i and k_1 are time indexes, d_i are estimated coefficients of the equalizer 68, and η_k is a noise value at time instant k.

Evaluating correlation during the time equalizer 68 converges results in following expression for correcting of the timing phase:

10

$$\Delta \theta_k = r_{k,t} + r_{k,g} + r_{k,d} + r_{k,\phi} + r_{k,u} + r_{k,\eta} \tag{8}$$

where

$$r_{k,t} = E \left(\sum_{i=-\infty}^{i=-1} h_i f_i a_{k-i}^2 \right) \tag{9}$$

$$r_{k,g} = E \left(\sum_{i=0}^N h_i f_i (a_{k-i} - \bar{a}_{k-i}) a_{k-i} \right) \quad (10)$$

$$r_{k,d} = E(\Delta h_0 f_0 a_k \bar{a}_k) \quad (11)$$

$$r_{k,d} = E \left(\sum_{i=1}^N \Delta h_i f_i a_{k-i} \bar{a}_{k-i} \right) \quad (12)$$

$$r_{k,u} = E \left(\sum_{i=N-1}^{\infty} h_i f_i a_{k-i}^2 \right) \quad (13)$$

5

$$r_{k,\eta} = E(\eta_k \eta_{l,k}) \quad (14)$$

where h_i denotes the sampled impulse response function at the decision instant.

10

The first term $r_{k,i}$ represents a contribution due to uncanceled precursor intersymbol interference. Hence, it contains an information which can be utilized to optimize and track the optimum or near optimum sampling instance. Since $r_{k,i}$ is the only term that actually depends on

the sampling phase in steady-state conditions, $r_{k,i}$ is referred to as the timing function.

The second term $r_{k,g}$ represents a contribution due to incorrect previous decisions. It vanishes assuming no decisions errors are made, i.e., in the steady-state. This does not apply at the initial phase of the transmission when timing recovery controller 70 and equalizer 68 operate jointly because the equalizer taps cannot be set to optimal values by independent adjustment.

The third and fourth terms $r_{k,d}$ and $r_{k,e}$ represent contributions due to the imperfect channel equalization. Ideally, those two terms vanish completely after convergence to the correct channel impulse response, $d_i = h_i$. In practice, these terms cause zero-mean random fluctuations around steady state.

The fifth term $r_{k,u}$ represents the unequalized part of the channel impulse response. The sixth $r_{k,n}$ represents additive white noise. The first, fifth and sixth terms do not depend whether equalizer 68 has converged or not. Nor are they functions of time.

From the description given above, it can be seen that:

- $|r_{k,i}| < |r_{k,g}|$ during the time the equalizer 68 converges, since the feedforward filter 56 reduces the amplitude of the pulse precursors such that ($h_1 \approx 0, h_2 \approx 0, \dots, h_m = 0, m \leq M$). On the contrary, $r_{k,g}$ contains the largest values of the sampled impulse response function.

- $r_{k,d}$ can be neglected providing the correct adjustment of decision threshold (automatic gain control 54), since $d_0 = h_0$.

• $|r_{k,e}| < |r_{k,g}|$ since $|\Delta h_i| < |h_i|$ and $a_{k,i}\bar{a}_{k,i}$ has a mean value equal to zero.

• $r_{k,e}$ can be neglected in comparison with $r_{k,g}$ providing the large number of taps in the equalizer 68.

• the level of external noise is assumed to be low enough to allow the proper operation of the transceiver with a bit error rate (BER) $< 10^{-7}$, thus $r_{k,n}$ is negligibly small in comparison with $r_{k,g}$ and $r_{k,t}$.

• $r_{k,g}$ keeps the same sign during the time the equalizer converges, since h_i and f_i have either the same or opposite sign and since $a_{k,i}^2$ is always positive. This holds because both h_i and f_i are non-oscillatory, monotonic for almost all i except for very small values of h_i and f_i . On the other hand, it is possible to find some particular sampling instances that for $i = 0$ the product $h_0 f_0$ does not have the same sign as for the rest of the pulse tail.

The timing function is positive when the timing instance is advanced and negative when the timing instance is retarded according to the timing function shown in Figure 10. The term $r_{k,g}$ is always negative. Furthermore, the sum of both, corresponding to the initial phase of the transmission, when equalizer 68 has not yet converged, is also negative and does not exhibit zero-crossings. This means that if no training sequence is assumed, and the equalizer 68 and timing recovery controller 70 start operation simultaneously, the increment of the timing phase depends on $r_{k,t} + r_{k,g}$. The timing phase is therefore continuously retarded during that phase of the transmission. Subsequently $\Delta\theta_k$ converges to $r_{k,t}$ at the pace at which the equalizer converges and the term $r_{k,g}$ decreases successively towards zero. Hence, there is little risk

that the equalizer will diverge or that the system will lock unpredictably on a false zero-crossing.

The term $r_{k,g}$ ultimately vanishes when the equalizer 68 reaches a zero-error state, i.e., when it makes the correct decision. The term $r_{k,e}$ is assumed to be eliminated through averaging, since its expected value is zero. The terms $r_{k,u}$ and $r_{k,n}$ are neglected since they are relatively very small. Accordingly, the phase correction $\Delta\theta_k$ from equation (7) depends mainly on the timing function $r_{k,t}$.

10

In steady state conditions, the term $r_{k,n}$ does not vanish even when the equalizer 68 models the communication channel correctly. Also in steady-state, the error in the channel modelling or identification Δh_i depends on adaptive updating of the equalizer filter coefficients:

15

$$\Delta h_i = \mu \epsilon_{k-1} a_{k-i-1} \quad (15)$$

where μ is the equalizer adaptation constant, and ϵ_k is a random process dominated by external noise sources. Inserting equation (14) in equation (12) results in:

20

$$r_{k,e} = \mu E \left(\sum_{i=1}^N \epsilon_{k-1} a_{k-i-1} f_i a_{k-i}^2 \right) \quad (16)$$

If one assumes that ϵ_k is a zero mean, non-impulsive random process with a variance σ_k^2 , the term $r_{k,e}$ may be considered as an approximately gaussian noise source with a variance:

$$V(r_{k,d}) = \mu^2 \sigma_{\epsilon_{k-1} \epsilon_{k-1}} V(\hat{a}_k^2) \sum_{i=1}^N \hat{r}_i^2 \quad (17)$$

In a practice this term is negligibly small since it depends on μ^2 .

Referring to the $r_{k,d}$, i.e., the third term in the expression for $\Delta\theta_k$,
 5 equation (11), one sees the possibility to introduce the bias to the
 estimator of the steady-state location of the timing instants. In particular,
 when automatic gain control block takes incorrect value for a gain, it
 causes the lasting discrepancy between the signal level and the decision
 threshold, $\Delta h_0 \neq 0$. The $r_{k,d}$ translate it to the permanent bias of the
 10 estimate of $\Delta\theta_k$. This phenomenon, however, may be eliminated by the
 proper design of the automatic gain control block.

A change of the sampling phase immediately gives rise to an
 undesired correlation described by the terms $r_{k,g}$ and $r_{k,e}$. The term $r_{k,g}$
 15 does not contribute to the correlation function provided that the phase
 increments are small enough that they do not cause incorrect decisions,
 since $E(\hat{a}_k - \hat{a}_k) = 0$. The terms $r_{k,d}$ and $r_{k,e}$ can not be eliminated, but
 their influence diminishes with the small phase increments that usually
 occur in steady state conditions.

20

In steady-state then, $r_{k,g} = 0$, $r_{k,d} = 0$ and $r_{k,e} = 0$, and expression
 for the phase correction $\Delta\theta_k$ simplifies to:

$$\Delta\theta_k = r_{k,t} + r_{k,u} + r_{k,\eta} = r_{k,t} \quad (18)$$

The components $r_{k,u}$ and $r_{k,\eta}$ may be regarded as the bias of the estimate of the timing function $r_{k,t}$. The term $r_{k,u}$ is caused by the cross-product of the uncanceled far end signal tails and the tail of the signal to be correlated f_k , and maintains constant mean value during the operation of the timing recovery circuit. The magnitude of the term $r_{k,u}$ depends on the combination of the correlated signals. However, for the high signal to noise ratios required to achieve $\text{BER} = 10^{-7}$, the tail of the far-end signal must be cancelled almost perfectly, thus the influence of this term is negligibly small.

The term $r_{k,\eta}$ depends of the external noise level. Assuming that η_k and η_{tk} have a gaussian probability density function it may be show that

$$E(\eta_k \eta_{tk}) = \sigma^2 \alpha \quad (19)$$

where σ^2 is the noise variance at the input of the detector, and α is a constant depending on the chosen precursor filter coefficients. The contribution of this term is also negligibly small.

Under the assumptions above, $\Delta\theta_k$ depends almost entirely on $r_{k,t}$. The timing information can therefore be extracted from the estimate of the correlation coefficient between the error ϵ_k and some adequately chosen signal as described above.

In practical implementations, such as that described above, time averaging is employed. Variations of $r_{k,t}$ will cause oscillations around the optimum or near optimum sampling instance, i.e., jitter. In order to

avoid unnecessary phase correction, the correction of the actual sampling instance $\Delta\theta_{k_i}$ may be restricted to values of r_{k_i} greater than some threshold magnitude. The magnitude of the threshold may be evaluated using the fact that values of r_{k_i} depend on the number of samples in the average estimate.

The present invention provides a practical and efficient approach to accurately track and adjusting the phase drift between transmitter and receiver clocks. Timing information is extracted at the symbol baud rate and optimal or near optimal sampling is achieved using a correlation function that passes through zero at or near the desired sampling phase. A correlation function that cross-correlates two signals at the symbol rate is selected such that it minimizes precursor interference through the choice of sampling instance. The timing recovery information is provided from a zero crossing of the correlation function and is used to determine the optimum or near optimum location of the pulse sampling instant. The signals to be correlated are chosen so that false zero crossings are avoided. In one of the embodiments disclosed above, the correlated signals included a symbol detection error signal and a signal from the feedforward filter. As a result, the present invention avoids pitfalls of previous timing recovery algorithms including locking onto false zero crossings, oscillatory behavior, and susceptibility to spurious phenomena.

While the invention has been described in connection with what is presently considered to be the most practical and preferred embodiment, it is to be understood that the invention is not to be limited to the disclosed embodiment, but on the contrary, is intended to cover various modifications and equivalent arrangements included within the spirit and scope of the appended claims.

WHAT IS CLAIMED IS:

1. A timing recovery method in a digital communications system for determining a desired sampling instant in a digital receiver,
5 comprising:
 sampling a received signal at a controlled sampling instant;
 detecting a symbol value corresponding to the sampled signal;
 determining an error between the sampled signal and the
10 detected symbol; and
 controlling subsequent sampling instants using the error.
2. The method in claim 1, wherein said controlling step includes:
 adjusting the sampling instant to minimize a magnitude of the
15 error.
3. The method in claim 1, wherein the error includes uncanceled
precursor intersymbol interference of the received signal.
4. The method in claim 1, wherein the controlling step includes:
20 correlating the error with another signal, and
 adjusting the sampling instant according to a correlation result.
5. The method in claim 4, wherein the correlation produces only
one zero crossing from which the desired sampling instant is determined.
- 25 6. The method in claim 1, wherein the controlling step includes:
 correlating the error with another signal, and
 adjusting the timing instant based on whether a correlation result
is a positive or a negative value such that the timing instant is advanced
30 if the correlation result is one of the positive or negative value and

retarded if the correlation result is the other of the positive or negative value.

5 7. A timing recovery method in a digital communications system for extracting a desired phase of a sampling clock signal in a receiver, comprising:

sampling a received signal at controlled timing instants and converting the received signal into a digital signal, and

10 determining a timing recovery correlation function from the received signal that provides an unambiguous zero crossing of the received signal from which a desired sampling timing instant is determined.

15 8. The method in claim 7, further comprising:
processing the received signal to compensate for distortions;
detecting a value of the received signal from the processed signal;
determining an error between the detected value and the processed signal,

20 wherein the timing recovery function is a correlation between first and second signals, the first signal being based on the error.

9. The method in claim 8, wherein the first signal is the error and the second signal is obtained from the received signal.

25 10. The method in claim 9, wherein the processing step includes filtering the received signal in a digital filter, and wherein the second signal is obtained from the digital filter.

11. The method in claim 10, wherein the second signal is a weighted combination of the signal input to the digital filter and one or more earlier filter input signals.

5 12. The method in claim 10, wherein the second signal is a combination of the first and second earlier received filtered signals.

13. The method in claim 8, further comprising:
filtering the received signal in a digital filter, wherein the first
10 signal is a combination of the error and a previously determined error
and the second signal is obtained from the digital filter.

14. The method in claim 13, wherein the digital filter includes M
delay stages and the second signal is an earlier signal input to the digital
15 filter which is output from an Mth delay stage.

15. The method in claim 13, wherein the second signal is a
delayed version of a weighted combination of the signal input to the
digital filter and one or more earlier filter input signals.

20 16. The method in claim 8, wherein the second signal is selected
so that the correlation provides the unambiguous zero crossing.

17. The method in claim 8, further comprising:
25 summing the first signal determined for a current sampling period
with a previous first signal for a previous sampling period; and
multiplying the sum by the second signal.

18. The method in claim 8, further comprising:
30

summing the second signal determined for a current sampling period with a previous second signal for a previous sampling period; and multiplying the sum by the first signal.

5 19. The method in claim 8, further comprising:
minimizing a magnitude of the correlation to obtain an optimal or near sampling timing instant.

10 20. The method in claim 8, wherein a sign of the correlation determines whether the phase of the timing needs to be advanced or retarded.

 21. The method in claim 20, wherein the timing phase is not adjusted if a magnitude of the correlation does not exceed a threshold.

15 22. The method in claim 8, wherein the processing step includes:
filtering the received signal with a digital filter to suppress a precursor portion of the received signal, the filtering including (1) multiplying the received signal by a first precursor coefficient thereby generating a first product, and (2) multiplying an earlier received signal
20 having been delayed in one of plural filter delay stages by a second precursor coefficient thereby generating a second product, and
wherein the second signal is a sum of the first and second products for the received signal and the first and second products for the
25 earlier received signal.

 23. The method in claim 7, further comprising:
averaging results of the timing recovery function over a time
interval;
30 comparing the averaged results with a threshold; and

generating either an advance signal or a retard signal to initiate advance and retard, respectively, of the sampling instant.

24. A data communications transceiver in a digital communications system comprising:
- a transmitter for transmitting digital information encoded as one of plural symbols over a communications channel;
 - a receiver including:
 - an analog to digital converter for sampling a received signal at controllable, predetermined timing instants;
 - a detector for comparing samples of the received signal to a threshold and generating a symbol corresponding each sample based on the comparison; and
 - a timing recovery controller for determining an optimum or near optimum sampling instant based on a zero crossing of a timing recovery correlation function and varying the phase of the sampling instant with the determined optimum or near optimum sampling instant.

25. The data communications transceiver method in claim 24, wherein the timing recovery correlation function is based on an error between the sample and its corresponding detected symbol.

26. The data communications transceiver in claim 24, wherein the timing recovery controller adjusts the sampling instant to a point where a mean square of the error is at or near a minimum.

27. The data communications transceiver in claim 26, wherein the error represents uncanceled precursor intersymbol interference of the received signal.

28. The data communications transceiver in claim 26, wherein the timing recovery controller correlates the error with another signal, and adjusts the sampling instant according to a correlation result.

5 29. The data communications transceiver in claim 28, wherein in a steady state condition, the correlation result produces only one zero crossing from which the optimum or near optimum sampling instant is determined.

10 30. The data communications transceiver method in claim 24, wherein the controlling step includes:
 correlating the error with another signal, and
 adjusting the timing instant based on whether a correlation result is a positive or negative value such that the timing instant is advanced if
15 the correlation result is one of the positive or negative value and retarded if the correlation result is the other of the positive or negative value.

 31. Apparatus for digital communications timing recovery,
20 comprising:
 a sampler for sampling a received signal at controlled timing instants and converting the received signal into a digital signal, and
 a timing recovery controller for determining a timing recovery function from the received signal that provides an unambiguous zero
25 crossing of the received signal from which a desired sampling timing instant is determined.

 32. The apparatus method in claim 31, further comprising:
 processing circuitry for processing the received signal to
30 compensate for distortions;

a detector for detecting a value of the received signal from the processed signal; and

a combiner for determining an error between the detected value and the processed signal,

5 wherein the timing recovery function is a correlation between first and second signals, the first signal being based on the error.

33. The apparatus in claim 32, wherein the first signal is the error and the second signal is obtained from the received signal.

10

34. The apparatus in claim 33, further comprising:

a digital filter for filtering the received signal, wherein the second signal is obtained from the digital filter.

15

35. The apparatus in claim 34, wherein the second signal is a weighted combination of the signal input to the digital filter and one or more earlier received digital signals.

20

36. The apparatus in claim 34, wherein the second signal is obtained from a combination of first and second earlier filtered signals.

37. The apparatus in claim 34, further comprising:

a digital filter for filtering the received signal, wherein the first signal is a combination of the error and a previously determined error and the second signal is obtained from the digital filter.

25

38. The apparatus in claim 32, wherein the digital filter includes M delay stages and the second signal is an earlier signal input to the digital filter which is output from a Mth delay stage.

30

39. The apparatus in claim 32, wherein the second signal is selected so that the correlation provides the unambiguous zero crossing.

40. The apparatus in claim 32, further comprising:

a summer for summing the first signal determined for a current sampling period with a previous first signal for a previous sampling period; and

a multiplier for multiplying the sum by the second signal.

41. The apparatus in claim 32; further comprising:

a summer for summing the second signal determined for a current sampling period with a previous second signal for a previous sampling period; and

a multiplier for multiplying the sum by the first signal.

42. The apparatus in claim 32, wherein the timing recovery controller minimizes a magnitude of the correlation to obtain an optimal or near optimal sampling timing instant.

43. The apparatus in claim 32, wherein the timing recovery controller uses a sign of the correlation to determine whether the phase of the timing is to be advanced or retarded.

44. The apparatus in claim 43, wherein the timing phase is not adjusted if a magnitude of the correlation does not exceed a threshold.

45. The apparatus in claim 32, wherein the processing step includes:

a digital filter for filtering the received signal to suppress a precursor portion of the received signal by (1) multiplying the received

signal by a first precursor coefficient thereby generating a first product, and (2) multiplying an earlier received signal having been delayed in one of plural filter delay stages by a second precursor coefficient thereby generating a second product, and

- 5 wherein the second signal is a sum of the first and second products for the received signal and the first and second products for the earlier received signal.

- 10 46. The apparatus in claim 31, wherein the timing recovery controller averages results of the timing recovery function over a time interval, compares the averaged results with a threshold, and generates either an advance signal or a retard signal to initiate advance and retard, respectively, of the sampling instant.

- 15 47. A data communications receiver in a digital communications system comprising:

- means for generating a clocking signal;
- means for sampling a received signal at predetermined timing instants in response to the clocking signal;
- 20 means for determining a function from the received signal that provides a single zero crossing at an optimal or near optimal timing instant for sampling the received signal; and
- means for adjusting the generating means based on the function.

- 25 48. The data communications receiver in claim 47, wherein the means for adjusting adjusts a phase of the clocking signal used by the means for sampling such that a magnitude of the function is minimized toward zero.

49. The data communications receiver in claim 48, wherein the function is a correlation between a precursor error signal and a signal obtained from the received signal.

50. The data communications receiver in claim 48, further comprising:

means for detecting a value of the received signal at a predetermined timing instant, wherein the means for determining includes:

means for calculating an error between the received signal input to the means for detecting and the detected value output by the means for detecting; and

means for correlating the error with at least some portion of the received signal thereby generating a correlated signal.

51. The data communications receiver in claim 50, wherein the means for adjusting adjusts a phase of the clocking signal so that a magnitude of the correlation is minimized toward zero and the received signal is sampled at a desired timing instant.

52. The data communications receiver in claim 51, wherein a sign of the correlation determines whether the phase of the clocking signal is advanced or retarded.

53. The method in claim 1, wherein the controlling step includes: correlating a sign of the error with a sign of another signal, and adjusting the sampling instant according to a sign of the correlation.

54. The method in claim 8, wherein the first signal or a sign of the first signal and the second signal or a sign of the second signal are correlated.

5 55. The data communications transceiver in claim 28, wherein the timing recovery controller correlates a sign of the error with a sign of another signal.

10 56. The apparatus in claim 32, wherein the correlation is between the first signal or a sign of the first signal and the second signal or a sign of the second signal.

15 57. The apparatus in claim 34, wherein the second signal is a delayed version of a weighted combination of the signal input to the digital filter and one or more earlier filter input signals.

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Fig. 1

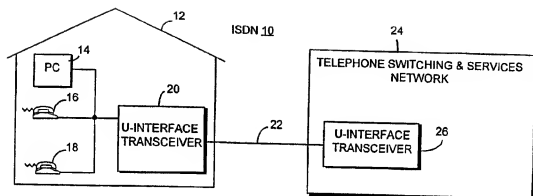
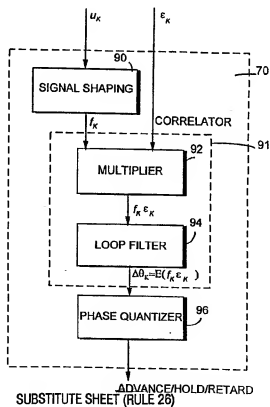


Fig. 9



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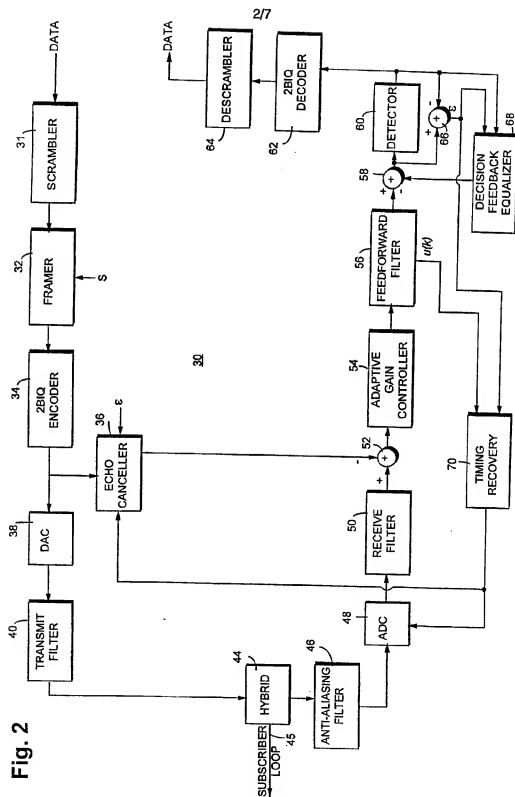
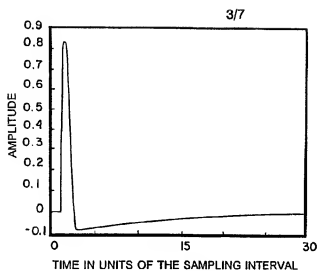
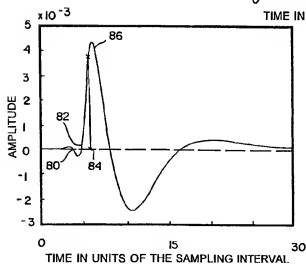
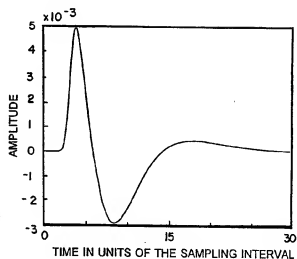
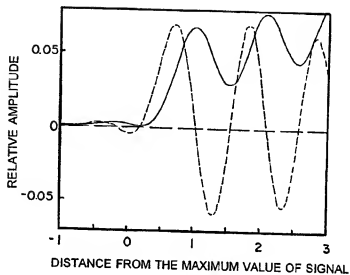
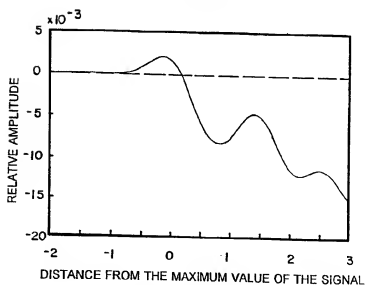


Fig. 2

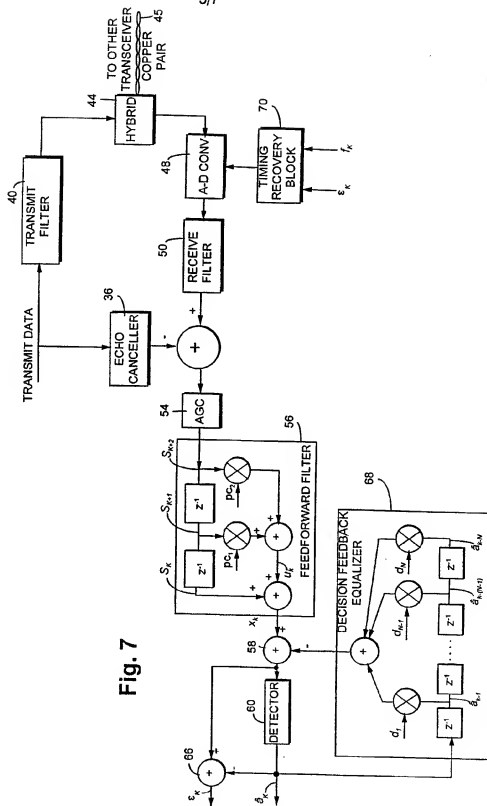
**Fig. 3****Fig. 4****Fig. 5**

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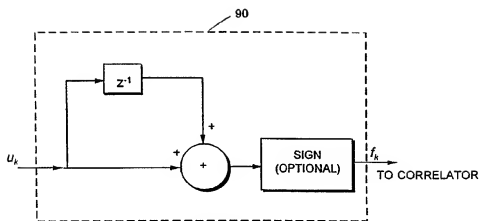
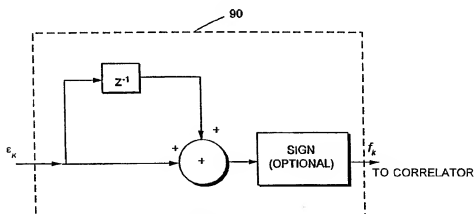
Fig. 6**Fig. 8**

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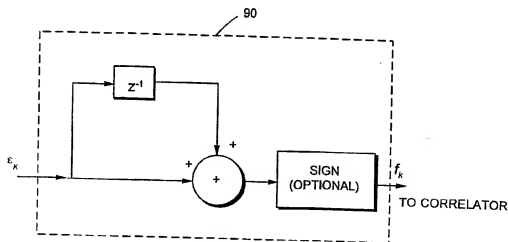
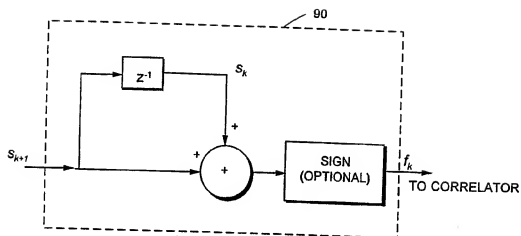
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**Fig. 10****Fig. 11**

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INTERNATIONAL SEARCH REPORT

International Application No.
PC1/SE 96/00942

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 H04L7/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT¹

Category ²	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ICC 1975, vol. 2, 16 June 1975, SAN FRANCISCO, pages 34-24-34-27, XP000579359 H. SAILER: "TIMING RECOVERY IN DATA TRANSMISSION SYSTEMS USING MULTILEVEL PARTIAL RESPONSE SIGNALING" see page 34-24, right-hand column, line 14 - line 36	1-4, 6, 24, 25, 30, 53
A	see page 34-24, right-hand column, line 61 - page 34-25, left-hand column, line 58; figure 2	5, 7-9, 17-21, 23, 29, 31-33, 40-44, 46, 52, 54-57
	---	-/-

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

10 October 1996

Date of mailing of the international search report

25. 10. 96

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INTERNATIONAL SEARCH REPORT

Inter national Application No
PCT/SE 96/00942

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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X	EP,A,0 330 282 (AT & T NETWORK SYSTEMS INT ;AT & T NETWORK SYSTEMS NL (NL)) 30 August 1989 see page 2, line 52 - page 3, line 2 see page 4, line 3 - line 11 see page 4, line 32 - line 42 see page 5, line 20 - line 23 see page 5, line 43 - page 6, line 3 see page 6, line 12 - line 38	1-4,6,53
A	see page 6, line 53 - page 7, line 19; figures 1A-1E see figures 2-5 ---	7-10,13, 20,21, 23-28, 31-34, 42-44, 46-48, 50-52
X	PROCEEDINGS OF THE ACM SYMPOSIUM ON PROBLEMS IN THE OPTIMIZATION OF DATA COMMUNICATION SYSTEMS, PINE MOUNTAIN, GA, USA, 13-16 OCT. 1969, 1969, NEW YORK, NY, USA, ASSOC. COMPUTING MACHINERY, USA, pages 347-367, XP000600005 CHANG R W: "Joint automatic equalization for data communication"	1-4,6,53
A	see page 360, line 5 - page 361, line 10; figures 1,2	7-10, 24-28, 30-34, 42,43, 47,48, 50-52,55
A	--- US,E,RE34206 (SAYAR BABAK) 30 March 1993 see column 2, line 25 - line 33 see column 2, line 42 - line 54 see column 5, line 15 - column 6, line 4 see column 6, line 23 - line 31 see column 6, line 61 - column 7, line 43 see column 7, line 63 - column 9, line 27 see figures 1-4,6 ---	1-57
A	--- US,A,4 995 031 (ALY SAMI A H ET AL) 19 February 1991 see column 3, line 28 - line 52 see column 14, line 46 - column 15, line 2; figures 1-4,6 --- -/--	1-57

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INTERNATIONAL SEARCH REPORT

International Application No.
PCT/SE 96/00942

C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>IEEE TRANSACTIONS ON COMMUNICATIONS, FEB.-APRIL 1994, USA, vol. 42, no. 2-4, pt.2, February 1994, ISSN 0090-6778, pages 1409-1414, XP000447364 ABOULNASR T ET AL: "Characterization of a symbol rate timing recovery technique for a 2B1Q digital receiver" see page 1410, paragraph A. see page 1414, paragraph C; figure 1 ----</p>	<p>1,3,5,7, 16,24, 31,47</p>
A	<p>ICC '93 GENEVA. IEEE INTERNATIONAL CONFERENCE ON COMMUNICATIONS '93. TECHNICAL PROGRAM, CONFERENCE RECORD (CAT. NO.93CH3261-5), PROCEEDINGS OF ICC '93 - IEEE INTERNATIONAL CONFERENCE ON COMMUNICATIONS, GENEVA, SWITZERLAND, 23-26 MAY 1993, ISBN 0-7803-0950-2, 1993, NEW YORK, NY, USA, IEEE, USA, pages 1804-1808 vol.3, XP000448433 DANESHRAH B ET AL: "A carrier and timing recovery technique for QAM transmission on digital subscriber loops" see page 1806, paragraph IV - page 1807, paragraph V; figures 3-9 -----</p>	<p>1-4,6-9, 12, 17-20, 22,25, 30-33, 35,38, 40,43, 45-54,56</p>

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Information on patent family members

International Application No.
PC1/SE 96/00942

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